

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

IN RE SMITH BARNEY TRANSFER
AGENT LITIGATION

CIVIL ACTION
No. 05 Civ. 7583 (WHP)

DECLARATION OF WILLIAM W. WICKERSHAM

I, WILLIAM W. WICKERSHAM, HEREBY DECLARE AND STATE AS FOLLOWS:

1. I am the Vice President, Business Development and Client Relations for RG/2 Claims Administration LLC (“RG/2 Claims” or “RG/2”), whose address is 1540 Broadway, New York, NY 10036. I am over the age of 18, have personal knowledge of the matters set forth herein, and if called upon to do so, could testify competently to them.

2. On August 9, 2013, Lead Plaintiff David Zagunis¹ submitted a motion seeking preliminary approval of the proposed settlement of *In re Smith Barney Transfer Agent Litigation*, 05 Civ 7583 (WHP), a class action currently pending in the United States District Court, Southern District of New York (the “Action”), as set forth and embodied in the Amended Stipulation (“Settlement”). Among the relief sought in connection with the preliminary approval motion is the appointment of RG/2 to supervise and administer the notice and claims process and distribute the settlement proceeds to eligible members of the Class² that has been proposed by

¹ Unless otherwise indicated, the capitalized terms used herein shall have the same meaning as those set forth in the Amended Stipulation of Settlement executed by the parties on August 8, 2013 (“Amended Stipulation”), filed with the Court as Exhibit 1 to the Declaration of Mark Levin in Support of Lead Plaintiff’s Motion for Preliminary Approval of Settlement on August 9, 2013 (“Levine Decl.”).

² The Class is defined as:

All persons and entities, and their successors in interest, that purchased or redeemed shares of the following Smith

Lead Counsel. I submit this affidavit to provide the Court with information regarding RG/2's qualifications to serve as claims administrator and to describe some of the services to be provided by RG/2 during the administration of notice and claims process, in particular the steps RG/2 will take to ensure the highest level response possible from the nominee/broker outreach program to be undertaken as part of the notice process and provide direct notice to the greatest number of underlying beneficial holders as possible.

Barney mutual funds between September 11, 2000 and June 24, 2004 ('Class Period') pursuant to a prospectus signed by Lewis E. Daidone and who were damaged thereby:

Smith Barney Aggressive Growth Fund, Inc.; Smith Barney Allocation Series, Inc.—Allocation Growth Portfolio; Smith Barney Appreciation Fund, Inc.; Smith Barney Income Fund Series—Smith Barney Convertible Fund; Smith Barney Income Fund Series—Smith Barney Diversified Strategic Income Fund; Smith Barney Income Fund Series—Smith Barney High Income Fund; Smith Barney Income Fund Series Smith Barney Premium Total Return Fund; Smith Barney Fundamental Value Fund, Inc.; Smith Barney World Funds, Inc.—International All Cap Growth Portfolio; Smith Barney Managed Governments Fund, Inc.; Smith Barney Investment Funds, Inc.—Peachtree Growth Fund; Smith Barney Investment Funds, Inc.—Investment Grade Bond Fund; Smith Barney Investment Funds, Inc.—Small Cap Growth Fund; Smith Barney Investment Trust Large Capitalization Growth; Smith Barney Managed Municipal Funds, Inc.; Smith Barney Money Funds, Inc.—Cash Portfolio; and Smith Barney Equity Funds, Inc.—Social Awareness Fund. The Class excludes Daidone and certain persons and entities affiliated with Daidone and the Dismissed Citi Defendants.

3. In connection with the preparation of this declaration and the design of the notice and claims process, my staff and I have been communicating regularly with Lead Counsel. In particular we have had multiple discussions concerning the facts and circumstances surrounding the litigation and settlement of the Action, and in particular the best and most practicable way to provide notice to class members and to allocate and distribute Settlement proceeds given apparent unavailability (or inaccessibility) of Class Period or damages period accountholder and transactional records. I have also reviewed the declarations and supplemental information provided by representatives of Legg Mason, Inc. (“Legg Mason”), Bank of New York Mellon (“BNY Mellon”) and Boston Financial Data Services (“Boston Financial”), the entities Lead Counsel has indicated are most likely to have custody or control of the relevant Class Period account and transactional records.³ The Legg Mason, BNY Mellon and Boston Financial declarations, and select supplemental information provided by Boston Financial, are attached as exhibits to the Supplemental Declaration of Mark Levine in Further Support of Lead Plaintiff’s Motion for Preliminary Approval of Settlement (the “Supplemental Levine Declaration,” or “Supp. Levine Decl.”).

4. This declaration is being submitted in connection with the Supplemental Levine Declaration because at the time of the submission of Lead Plaintiff’s preliminary approval motion, Lead Counsel was pursuing declarations from Legg Mason, BNY Mellon, and Boston Financial concerning the availability (or lack thereof) of the Class Period accountholder and

³ Based upon communications with Lead Counsel and content of the declarations, Legg Mason or a related entity assumed management of the Smith Barney mutual funds, including the seventeen (17) former Smith Barney funds that are involved in the Actions and Settlement (the “Funds”), in 2006; BNY Mellon was retained by Legg Mason to serve as transfer agent to the Legg Mason funds (which included the Funds) in April 2009; and Boston Financial succeeded BNY Mellon as transfer agent to the Legg Mason funds (including the Funds) and currently serves in that capacity.

transactional records that are most likely to be useful in designing a notice, allocation and distribution program. Now that Legg Mason, BNY Mellon and Boston Financial have provided declarations and BNY Mellon and Boston Financial have confirmed that the information previously provided to Plaintiffs about the unavailability of Class Period accountholder and transactional information was accurate, this declaration is being submitted based upon as complete a record as is available.

RG/2 QUALIFICATIONS

5. RG/2 Claims is a full service class action settlement and fund administrator offering notice, claims processing, allocation, distribution, tax reporting, and consulting services. RG/2 Claims' experience includes the provision of notice and administration services for settlements arising from antitrust, consumer fraud, civil rights, employment, regulatory enforcement actions, and securities fraud allegations. Since 2000, RG/2 Claims has administered and distributed in excess of \$750,000,000 in class action settlement and Fair Fund proceeds. Attached hereto as Exhibit 1 is a list of significant securities and shareholder cases in which RG/2 Claims has provided notice and claims administration services.

6. The RG/2 Claims team is a multi-disciplinary group of professionals who are prepared to provide the comprehensive range of services required to meet the notice and administration needs of Lead Counsel in this matter. Our team of attorneys, accountants, and other claims administration professionals are experienced in providing the complete suite of claim administration services for this matter, including but not limited to: identification of class members; class member notification; collection and analysis of investor data and claims;

distribution of settlement funds to approved claimants, and customizable periodic and ad-hoc reporting tailored to the needs of Lead Counsel here.

EXPERTISE IN IDENTIFICATION OF ELIGIBLE CLASS MEMBERS

7. RG/2 Claims utilizes its extensive experience in the identification of eligible class members in its securities and shareholder class action settlement process. RG/2 Claims' past identification experience includes the review, analysis, and combination of class member data from multiple electronic and other intermediary sources to create unified mailing lists of probable class members.

8. RG/2 Claims has extensive experience with the process involved in identifying nominees and other intermediaries that hold shares of the Funds at issue in the Action. Although, according to the recent supplemental information provided by Boston Financial it was unclear what portion of the purged Class Period Fund accounts were held and controlled directly by Class Members versus held and controlled by intermediaries (such as broker-dealers, investment advisors or custodians), it is now confirmed that Boston Financial never had or maintained access to records sufficient to identify the names and addresses of the Class Member beneficiaries whose accounts were controlled by intermediaries.⁴ Based upon RG/2's professional experience, this is typical of shareholder class action litigation, whether involving common stock or mutual funds. In fact, even if the Class Period records had not been purged

⁴ See Email from Adam Sisitsky of Mintz Levin (Boston Financial's outside counsel) to Mark Levine dated August 8, 2013 (Question 5c: "How many accounts...were 'direct' and how many were 'brokerage' or 'omnibus' accounts maintained by brokers? Ans.: "These percentages vary day to day;" 5d: "With regard to 'brokerage' or 'omnibus' accounts, do you maintain information sufficient to identify the names and addresses of each sub-account holder? Ans.: No."), attached as Exhibit 1 to the Supp. Levine. Decl.

and were accessible, it is likely, based upon RG/2's professional experience, that the vast majority of the Fund shares at issue in this Action would have turned out to have been purchased, sold and held during the Class Period through an investment advisor, a broker-dealer or other intermediary. This is again typical in litigation involving both mutual funds and the more prevalent securities litigation involving common stock.

9. As such, whether or not Class Period Fund account records are available (and I understand that they are not), RG/2 will, as is typical in shareholder litigation, need to rely heavily on its broker/nominee outreach program in providing the best notice practicable to the Class by seeking to identify the intermediaries through its own proprietary list of nominees. More specifically, RG/2 is prepared to issue a mailed notice to over 1,000 nominees at Depository Trust & Clearing Corporation's ("DTCC") participant firms. DTCC, through its subsidiary Depository Trust Company ("DTC"), makes "book-entry" changes to ownership of the securities and retains custody of more than 3.5 million securities issues worth almost \$34 trillion, including securities issued in the US and more than 120 foreign countries and territories. A listing of DTC Participant Firms (members) as of July 31, 2013 is attached hereto as Exhibit 2. In addition, RG/2 Claims will disseminate a copy of the notice packet to the over 1,300 preferred brokers, banks, institutional investors, law firms and claim filers that are identified in a list that RG/2 compiled targeting the most likely sources of beneficial holders, requesting that those entities search their records for contact information for the holders of the securities at issue in this matter.

10. As appropriate, RG/2 will issue a mailed notice to key contacts within DTCC's member firms and publish a copy of the Summary Notice in USA Today and through the DTC's Legal Notification Services ("LENS"). The LENS system offers those member banks and

brokers access to a library of notices published concerning DTC-eligible securities by third party, public or private agents and agencies, courts and security issuers

11. As settlement administrator, RG/2 Claims will maintain records of responses or certifications of the intermediaries, including where no response or certification was provided and follow up with intermediaries as necessary to encourage compliance.

12. While brokers and nominees are not required under SEC and FINRA rules to maintain customer records longer than six years, RG/2 Claims has had success in obtaining records from bank and broker nominees in previous cases involving class actions that involve securities transaction from more than six years ago. I have no reason to believe that we would be less successful in this case.

13. After receipt of client information from any other potential custodial holders, RG/2 Claims combines this information with its unified mailing list of individuals who purchased the subject securities during the class period, and promptly issues notice via first class mail to all newly added individuals. Where banks, brokers, and institutions do not timely respond to our requests, we will aggressively follow-up and contact them to maximize the number of possible Class Members who receive the Mailing Notice and Claim Form.

14. Although in all securities class action settlements, RG/2 Claims conducts a comprehensive outreach campaign to contact intermediaries and request the names and addresses of the actual beneficial holder, intermediary outreach here is of particular importance. Although the percentage of direct holders listed on transfer agent records is often small anyway, RG/2 is cognizant that given the complete absence of transfer agent records with direct accountholder

names and addresses, outreach is even more critical to carrying out the best and most practicable notice process and will be performed in this case with the utmost diligence.

15. Additionally, RG/2 will work with Lead Counsel to facilitate the reimbursement for reasonable out of pocket costs incurred by intermediaries in identifying class members and providing them with notice for custodial holders who provide proper and complete documentation

16. In addition to mailing notice, RG/2 Claims will create a dedicated settlement website for class members which contains: downloadable portable document format (.pdf) versions of selected case documents, updates on the status of the settlement administration, a form for submitting Questions, a portal for filing claims electronically, and detailed instructions for those institutional filers who elect to file claims electronically for their clients.

I DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES THAT TO THE BEST OF MY KNOWLEDGE THE FOREGOING IS TRUE AND CORRECT.

Executed on August 30, 2013 in New York, New York.



William W. Wickersham

EXHIBIT 1



Representative Listing of Securities Cases Administered by RG/2 Claims
Including Gross Settlement Amount

Case Name	Gross Settlement Amount
<i>In Re: Veritas Software Corp. Securities Litigation</i>	\$35,000,000.00
<i>In Re: SEC v. Veritas Software Corporation</i>	\$30,888,696.27
<i>In Re: NextCard, Inc. Securities Litigation</i>	\$23,200,000.00
<i>In Re: CommTouch Software Ltd. Securities Litigation</i>	\$15,000,000.00
<i>In Re: Terayon Comm. Systems, Inc. Securities Litigation</i>	\$15,000,000.00
<i>In Re: TutSystems, Inc. Securities Litigation</i>	\$10,000,000.00
<i>In Re: Quovadx, Inc. Securities Litigation</i>	\$9,000,000.00
<i>In Re: Endocare, Inc. Securities Litigation</i>	\$8,950,000.00
<i>In Re: Majesco Securities Litigation</i>	\$8,099,000.00
<i>In Re: Intercept, Inc. Securities Litigation</i>	\$5,300,000.00
<i>In Re: Lin Lan v. Ludrof et. al.</i>	\$5,234,277.17
<i>In Re: U.S. Aggregates, Inc. Securities Litigation</i>	\$3,500,000.00
<i>In Re: Intershop Communications AG Securities Litigation</i>	\$3,151,392.56
<i>In Re: M & A West, Inc. Securities Litigation</i>	\$2,615,000.00
<i>In Re: Kohler v. Sierra Pacific Industries</i>	\$2,475,000.00
<i>In Re: King Power Securities Litigation</i>	\$1,700,000.00
<i>In Re: SEC v. Lent, et al.</i>	\$1,250,000.00

EXHIBIT 2

<u>DTC Participant Report (Alphabetical Sort)</u> <u>Week Ending - 7/31/13</u>		Number
Participant Account Name		Number
ABN AMRO CLEARING CHICAGO LLC		0695
ABN AMRO CLEARING CHICAGO LLC/CPM		0330
ABN AMRO CLEARING CHICAGO LLC/PORTFOLIO MARGINING		0396
ABN AMRO CLEARING CHICAGO LLC/INSTITUTIONAL		0541
ABN AMRO CLEARING CHICAGO/BONDS		0575
 ABN AMRO SECURITIES (USA) LLC		0349
ABN AMRO SECURITIES (USA) LLC/A/C#2		7571
ABN AMRO SECURITIES (USA) LLC/REPO		7590
ABN AMRO SECURITIES (USA) LLC/ABN AMRO BANK NV REPO		7591
 ALASKA USA FEDERAL CREDIT UNION		2223
 ALBERT FRIED & COMPANY, LLC		0284
ALBERT FRIED & COMPANY LLC/STOCK LOAN		7578
 ALPINE SECURITIES CORPORATION		8072
 AMALGAMATED BANK		2352
 AMALGAMATED BANK OF CHICAGO		2567
AMALGAMATED BANK OF CHICAGO/IPA		1574
 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.		0756
AMERICAN ENTERPRISE INVESTMENT SERVICES INC./CONDUIT		7260
 AMERIPRISE TRUST COMPANY		2146
 ANB BANK		2082
 APEX CLEARING CORPORATION		0158
 ARCHIPELAGO SECURITIES, L.L.C.		0436
 ASSOCIATED BANK, N.A.		2257
 BANCA IMI SECURITIES CORP.		0136
 BANCO BILBAO VIZCAYA ARGENTARIA SA NEW YORK BRANCH		2461
 BANK OF AMERICA, NATIONAL ASSOCIATION		2236
BANK OF AMERICA, NA/GWIM TRUST OPERATIONS		0955
BANK OF AMERICA/LASALLE BANK NA/IPA, DTC #1581		1581
BANK OF AMERICA NA/CLIENT ASSETS		2251
 BANK OF CHINA, NEW YORK BRANCH		2555
BANK OF CHINA NEW YORK BRANCH/CLIENT CUSTODY		2656
 BANKERS' BANK		2557
 BARCLAYS CAPITAL INC.		5101
BARCLAYS CAPITAL INC./LE		0229
BARCLAYS CAPITAL INC./LONG ISLAND HOLDING A LLC		7299
BARCLAYS CAPITAL INC./LONG ISLAND INTERNATIONAL LIMITED		7569
 BB&T SECURITIES, LLC		0702
 BBVA SECURITIES INC.		2786
 BGC FINANCIAL, L.P.		0537
BGC FINANCIAL L.P./BGC BROKERS L.P.		5271
 BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.		2962
 BLOOMBERG TRADEBOOK LLC		7001
 BMO CAPITAL MARKETS CORP.		0045
BMO CAPITAL MARKETS CORP./PALOMA		5221
BMOCM/BONDS		5257
 BMO CAPITAL MARKETS GKST INC.		2344
 BMO HARRIS BANK NA		2697
BMO HARRIS BANK NA/TRUST		0992
BMO HARRIS BANK NA/M&I BANK IPA		1530
BMO HARRIS BANK NA/IPA		1582
BMO HARRIS BANK NA/DEALER		2559
 BNP PARIBAS PRIME BROKERAGE, INC.		2154
BNP PARIBAS PRIME BROKERAGE, INC/STOCK LENDING		2885
 BNP PARIBAS SECURITIES CORP.		0049
BNP PARIBAS SECURITIES CORP./FIXED INCOME		0630
BNP PARIBAS SECURITIES CORP./DOMESTIC LENDING		7255
BNP PARIBAS SECURITIES CORP./OTC SETTLEMENTS		7267
 BNP PARIBAS, NEW YORK BRANCH		1569
BNP PARIBAS, NEW YORK BRANCH/IPA		1601
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS PRIME BROKERAGE CUSTODIAN		2147
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS LONDON/COLLATERAL		2322
BNP PARIBAS, NEW YORK BRANCH/CUSTODY SERVICES		2787
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS PRIME BROKERAGE INTERNATIONAL		2884
BNP PARIBAS NY BRANCH LONDON BONDS		5153
BNP PARIBAS NY BRANCH/PARIS BONDS		7382

DTC Participant Report (Alphabetical Sort) Week Ending - 7/31/13		Number
Participant Account Name		Number
BNP PARIBAS NY BRANCH/BNP PARIBAS UK LIMITED		8180
BRANCH BANKING AND TRUST COMPANY		5385
BRANCH BANKING AND TRUST COMPAY/FM/IPA		1518
BRANCH BANKING & TRUST CO/FM IP BB&T CORP.		2703
BRANCH BANKING & TRUST CO/FM IP BB&T		2705
BRANCH BANKING & TRUST CO/FM IP SALEM FINANCIAL		2707
BRANCH BANKING AND TRUST COMPANY/FM IP CBG REMIC LLC		2867
BRANCH BANKING AND TRUST COMPANY/FM/IP CBG INC.		2871
BROWN BROTHERS HARRIMAN & CO.		0010
BROWN BROTHERS HARRIMAN & CO./ETF		0109
SECURITIES LENDING SPO ACCOUNT/BBH		5288
C.L. KING & ASSOCIATES, INC.		0743
CAJA DE VALORES S.A.		5610
CALDWELL TRUST COMPANY		2687
CANTOR FITZGERALD & CO.		0696
CANTOR FITZGERALD & CO. / CANTOR CLEARING SERVICES		0197
CANTOR FITZGERALD/STOCK LOAN		5253
CANTOR FITZGERALD & CO./AQUA SECURITIES, LP		7310
CANTOR FITZGERALD & CO./DEBT CAPITAL MARKETS		7311
CAVALI ICLV S.A.		2011
CDS CLEARING AND DEPOSITORY SERVICES INC.		4800
ROYAL BANK OF CANADA-ROYAL TRUST/CDS**		4707
BMO NESBITT BURNS INC/BMO TRUST COMPANY/CDS**		4712
THE BANK OF NOVA SCOTIA/PRINCIPAL EQUITIES/CDS**		4794
THE BANK OF NOVA SCOTIA/SUB FIXED INCOME/IMPACT/CDS**		4795
BMO NESBITT BURNS/INSTITUTIONAL/CDS**		4797
RBC DOMINION SECURITIES INC./CDS**		4801
TORONTO-DOMINION BANK (THE)**		4805
DEUTSCHE BANK SECURITIES LIMITED/ #1/CDS**		4806
J.P. MORGAN SECURITIES CANADA INC. **		4808
THE BANK OF NOVA SCOTIA/CDS**		4812
THE BANK OF NOVA SCOTIA/ SCE LTD./CDS**		4814
THE BANK OF NOVA SCOTIA/CLIENT A		4816
BANK OF MONTREAL/ CHICAGO/CDS**		4817
FIDUCIE DESJARDINS INC.**		4818
BANK OF MONTREAL/ IRELAND/CDS**		4819
BANK OF MONTREAL/ LONDON/CDS**		4822
THE BANK OF NOVA SCOTIA/CLIENT B/CDS**		4838
BANK OF MONTREAL**		4855
LAURENTIAN BANK OF CANADA/CDS**		5001
RBC DOMINION SECURITIES INC./CDS**		5002
NEWEDGE CANADA INC./CDS**		5003
NBCN INC./CDS**		5008
QTRADE SECURITIES INC./CDS**		5009
SCOTIA CAPITAL INC./CDS**		5011
EDWARD JONES/CDS**		5012
CALDWELL SECURITIES LTD./CDS**		5013
PETERS & CO. LIMITED/CDS**		5014
GMP SECURITIES L.P./CDS**		5016
UBS SECURITIES CANADA INC./CDS**		5017
CREDIT SUISSE SECURITIES CANADA INC./CDS**		5019
MACDOUGALL, MACDOUGALL & MACTIER INC./CDS**		5022
MACQUARIE PRIVATE WEALTH INC./CDS**		5025
PICTET CANADA L.P./CDS**		5027
DESJARDINS SECURITIES INC./CDS**		5028
MACKIE RESEARCH CAPITAL CORPORATION/CDS**		5029
CIBC WORLD MARKETS INC./CDS**		5030
TD WATERHOUSE CANADA INC./CDS**		5036
DWM SECURITIES INC./CDS**		5039
FIDELITY CLEARING CANADA ULC/CDS**		5040
BMO NESBITT BURNS INC./CDS**		5043
ROYAL BANK OF CANADA-ROYAL TRUST 1/CDS**		5044
CANACCORD GENUITY CORP./CDS**		5046
MANULIFE SECURITIES INCORPORATED/CDS**		5047
CORMARK SECURITIES INC./CDS**		5055
HAYWOOD SECURITIES INC./CDS**		5058
PENSON FINANCIAL SERVICES CANADA INC./CDS**		5063
E3M INVESTMENTS INC./CDS**		5066
GLOBAL SECURITIES CORPORATION/CDS**		5069
JONES GABLE & COMPANY LIMITED/CDS**		5070
LEEDS FINANCIAL MARKETS INC./CDS**		5071
MAPLE SECURITIES CANADA LIMITED/CDS**		5072
ODLUM BROWN LIMITED/CDS**		5074
PI FINANCIAL CORP./CDS**		5075
RAYMOND JAMES LTD./CDS**		5076
W.D. LATIMER CO LTD./CDS**		5078
WOLVERTON SECURITIES LTD./CDS**		5079
CREDENTIAL SECURITIES INC./CDS**		5083
QUESTRADE INC./CDS**		5084
BBS SECURITIES INC./CDS**		5085
CDS CLEARING AND DEPOSITORY SERVICES INC.**		5099
CENTRAL TRUST BANK (THE)		2880

<u>DTC Participant Report (Alphabetical Sort)</u> <u>Week Ending - 7/31/13</u>		Number
CHARLES SCHWAB & CO., INC.	Participant Account Name	0164
CHARLES SCHWAB & CO., INC. STOCK LOAN CONDUIT ACCOUNT		7322
CHARLES SCHWAB & CO., INC./SCHWAB GLOBAL INVESTING ACCOUNT		7587
CHARLES SCHWAB BANK		2993
CHICAGO BOARD OPTIONS EXCHANGE, INC.		0739
CIBC WORLD MARKETS CORP.		0438
CITADEL SECURITIES LLC		0395
CITIBANK, N.A.		0908
CITIBANK, N.A. - DEALER		0950
ADR-CITI		0953
CITIBANK/CP/IPA		1501
CITIBANK/THE CITIGROUP PRIVATE BANK/TRUST		2032
CITIBANK, N.A./ETF		2333
CITIBANK, N.A./CORPORATE AGENCY & TRUST		2426
CITIBANK, N.A. - MUNICIPAL SAFEKEEPING		2562
CITIBANK, N.A./SEGREGATED LENDING		2658
CITIBANK, N.A. BOOK-ENTRY-ONLY MEDIUM TERM NOTE ACCOUNT		2790
CITIBANK N.A. LONDON/MTN		2952
CITIBANK N.A. LONDON/PROPRIETARY ASSETS		
CITICORP SECURITIES SERVICES, INC.		0563
CITIGROUP GLOBAL MARKETS INC.		0418
CITIGROUP GLOBAL MARKETS INC./SALOMON BROTHERS		0274
CITIGROUP GLOBAL MARKETS, INC./CORRESPONDENT CLEARING		0505
CITIGROUP GLOBAL MARKETS INC./SALOMON BROTHERS/A.M.M.		5215
CITY NATIONAL BANK		2392
CLEARSTREAM BANKING AG		2000
COMERICA BANK		2108
COMMERCE BANK		2170
COMMERZ MARKETS LLC		0126
COMMERZ MARKETS LLC/FIXED INC. REPO & COMM. PAPER		0033
COMMERZ MARKETS LLC/INTERNATIONAL EQUITY FINANCE		7376
COMPASS BANK		2483
COMPASS BANK/IPA		1563
COMPASS BANK/TRUST DIVISION		2484
COMPUTERSHARE TRUST COMPANY, N.A.		2415
COMPUTERSHARE TRUST COMPANY, N.A./OPTIONS		2330
COMPUTERSHARE TRUST COMPANY, N.A./DRP		2586
CONVERGEX EXECUTION SOLUTIONS LLC		0100
COR CLEARING LLC		0052
COR CLEARING LLC/STOCK LOAN		7576
COSSE' INTERNATIONAL SECURITIES, INC.		8153
COUNTRY TRUST BANK		2561
COWEN EQUITY FINANCE LP		0580
COWEN EQUITY FINANCE LP/S-L		7303
CREDIT AGRICOLE SECURITIES (USA) INC		0651
CREDIT AGRICOLE SECURITIES (USA) INC. INTERNATIONAL		5281
CREDIT AGRICOLE SECURITIES (USA) INC/F/B/O CREDIT AGRICOLE NY BRANCH		7372
CREDIT AGRICOLE SECURITIES (USA) INC/STOCK LOAN CONDUIT		7540
CREDIT SUISSE AG - NEW YORK BRANCH		1587
CREDIT SUISSE AG NYB - SECURITIES LENDING MGMT		2279
CREDIT SUISSE SECURITIES (USA) LLC		0355
CREST INTERNATIONAL NOMINEES LIMITED		2012
CREWS & ASSOCIATES, INC.		5158
CROWELL, WEEDON & CO.		0574
CROWELL, WEEDON & CO./STOCK LOAN		7592
CRT CAPITAL GROUP LLC		0387
CSS, LLC		0670
CURIAN CLEARING, LLC		0300
CURTISWOOD CAPITAL, LLC		7484
D. A. DAVIDSON & CO.		0361

<u>DTC Participant Report (Alphabetical Sort)</u> <u>Week Ending - 7/31/13</u>		Number
DAIWA CAPITAL MARKETS AMERICA INC.	Participant Account Name	0647
DAIWA CAPITAL MARKETS AMERICA INC./SECURITIES LENDING		2800
DAIWA CAPITAL MARKETS AMERICA INC./DASAC		7561
DAVENPORT & COMPANY LLC		0715
DAVID LERNER ASSOCIATES, INC.		5144
DEPOSITO CENTRAL DE VALORES S.A., DEPOSITO DE VALORES		2735
DESERET TRUST COMPANY		0958
DESERET TRUST COMPANY - D		2118
DESERET TRUST COMPANY - I		2497
DEUTSCHE BANK AG, NEW YORK BRANCH		2481
DEUTSCHE BANK AG NY/TCR		2024
DEUTSCHE BANK AG NY/CEDEAR		2690
DEUTSCHE BANK AG, NEW YORK BRANCH/GES FFT		2879
DEUTSCHE BANK SECURITIES INC.		0573
DEUTSCHE BANK SECURITIES INC.- STOCK LOAN		0032
DEUTSCHE BANK SECURITIES INC.-INTERNATIONAL STOCK LOAN		5162
DEUTSCHE BANK SECURITIES INC.-FIXED INCOME STOCK LOAN		5225
DEUTSCHE BANK TRUST COMPANY AMERICAS		1503
DBTC AMERICAS/CTAG/PUTS & DEMANDS		2041
DBTC AMERICAS/CTAG-GES		2655
DBTC AMERICAS/CTAG-CDFP		2808
DIAMANT INVESTMENT CORPORATION		0344
E*TRADE BANK		2782
E*TRADE CLEARING LLC		0385
E*TRADE CLEARING LLC - SECURITIES LENDING		5207
EDWARD D. JONES & CO.		0057
ELECTRONIC BROKERAGE SYSTEMS, LLC		0627
ELECTRONIC TRANSACTION CLEARING, INC.		0873
EMMET & CO.,INC.		5234
ESPIRITO SANTO BANK		2253
ESSEX RADEZ LLC		0613
EUROOPEN CENTRAL COUNTERPARTY LIMITED		3064
EVERBANK		2576
FANNIE MAE		2218
FANNIE MAE/GENERAL		2293
FANNIE MAE/INVESTMENT		2296
FANNIE MAE/TRUST		2306
FEDERAL HOME LOAN MORTGAGE CORPORATION		2391
FEDERAL HOME LOAN MORTGAGE CORPORATION/RETAINED		2068
FIDUCIARY TRUST COMPANY OF BOSTON		2126
FIFTH THIRD BANK		2116
FIFTH THIRD BANK/STATE TEACHERS RETIREMENT OF OHIO		2416
FIFTH THIRD BANK/PUBLIC EMPLOYEES RETIREMENT SYSTEM		2975
FIRST BANK		2400
FIRST CLEARING, LLC		0141
FIRST CLEARING, LLC/SECURITIES LENDING MATCH BOOK		5237
FIRST SOUTHWEST COMPANY		0309
FIRST TENNESSEE BANK N.A. MEMPHIS		2445
FIRST TRUST PORTFOLIOS, L.P.		8244
FMSBONDS, INC.		5217
FOLIOFN INVESTMENTS, INC.		0728
FRONTIER TRUST COMPANY		2563
FROST BANK		2053
FTN FINANCIAL SECURITIES CORP.		0202
GEORGE K. BAUM & COMPANY		0129
GETCO EXECUTION SERVICES LLC		0530

<u>DTC Participant Report (Alphabetical Sort)</u> <u>Week Ending - 7/31/13</u>		Number
Participant Account Name		Number
GLEACHER & COMPANY SECURITIES, INC.		5149
GLENMEDE TRUST COMPANY, N.A. (THE)		2139
GOLDMAN SACHS BANK USA		2941
GOLDMAN SACHS BANK USA/GOLDMAN SACHS AGENCY LENDING		2660
GOLDMAN SACHS EXECUTION & CLEARING, L.P.		0501
GOLDMAN, SACHS & CO.		0005
GOLDMAN SACHS INTERNATIONAL		5208
GUGGENHEIM FUNDS DISTRIBUTORS, INC.		0526
GUGGENHEIM SECURITIES, LLC		0181
HOLD BROTHERS CAPITAL LLC		0430
HOME FEDERAL BANK OF TENNESSEE, F.S.B.		2425
HOME FEDERAL BANK/HOME FINANCIAL SERVICES, INC.		2447
HOME FEDERAL BANK/HF PORTFOLIO		2533
HOME FEDERAL BANK OF TENNESSEE, FSB/TRUST DEPARTMENT CUSTOMERS		2534
HONG KONG SECURITIES CLEARING COMPANY LIMITED		2338
HRT FINANCIAL LLC		0369
HSBC BANK USA, NATIONAL ASSOCIATION		2165
HSBC BANK USA, NATIONAL ASSOCIATION/IPA		1544
HSBC BANK USA, N.A.-IPB		2122
HSBC BANK USA, NA/HSBC NASSAU		2202
HSBC BANK USA, NATIONAL ASSOCIATION/OMNIBUS		2393
HSBC BANK USA, N.A./CORPORATE TRUST IPA		2894
HSBC SECURITIES (USA) INC.		0816
HSBC SECURITIES (USA) INC. (FIXED INCOME)		0486
HSBC TRUST COMPANY (DELAWARE), NATIONAL ASSOCIATION		2192
HUTCHINSON, SHOCKEY, ERLEY & CO.		6963
ICAP CORPORATES LLC		0148
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC		0388
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES, LLC/EQUITY CLEARANCE		0824
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC/CLEARING		2667
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC/SECURITIES LENDING		7583
ING FINANCIAL MARKETS LLC		0270
ING FINANCIAL MARKETS LLC/INTERNATIONAL		5104
ING FINANCIAL MARKETS LLC/INTERNATIONAL EQUITY FINANCE		5268
ING FINANCIAL MARKETS LLC/INTERNATIONAL EQUITY FINANCE MATCH BOOK ACCOUNT		7273
ING FINANCIAL MARKETS LLC/GLOBAL SECURITIES FINANCE NON-PURPOSE		7595
ING FINANCIAL MARKETS LLC/ING AFFILIATES		7567
INGALLS & SNYDER, LLC		0124
INSTINET, LLC		0067
INSTINET, LLC/STOCK LOAN		7276
INTERACTIVE BROKERS LLC		0017
INTERACTIVE BROKERS RETAIL EQUITY CLEARING		0534
INVESCO CAPITAL MARKETS, INC.		0692
ITAU UNIBANCO S.A. NEW YORK BRANCH		7581
ITAU UNIBANCO S.A. NEW YORK BRANCH/ITAU BBA USA SECURITIES, INC.		8113
ITG INC.		0099
ITG INC/SECURITIES LENDING DIVISION		7539
J.J.B. HILLIARD, W.L. LYONS, LLC		0768
J.P. MORGAN CLEARING CORP.		0352
J.P. MORGAN SECURITIES LLC		0187
JAMES I. BLACK & COMPANY		7031
JANNEY MONTGOMERY SCOTT LLC		0374
JANNEY MONTGOMERY SCOTT LLC/STOCK LOAN		7320
JAPAN SECURITIES DEPOSITORY CENTER, INC.		5600
JEFFERIES LLC		0019
JEFFERIES LLC/JEFFERIES EXECUTION SERVICES, INC./SERVICE BUREAU		0536
JEFFERIES LLC/AS AGENT FOR JEFFERIES INTERNATIONAL LONDON		7441
JEFFERIES LLC/SECURITIES FINANCE		7565
JOHN A. SIBERELL & CO.		7014

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Participant Account Name	Number
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION	0902
JPMORGAN CHASE BANK - ADR	0923
JPMORGAN CHASE BANK/CHEMICAL/COMMERCIAL PAPER/IPA	1506
JPMORGAN CHASE BANK/J.P.MORGAN CHASE & CO./CERTIFICATE OF DEPOSIT/IPA	1573
J.P. MORGAN CHASE/GARBAR CORPORATES	2019
JP MORGAN CHASE/JP MORGAN INTERNATIONAL	2035
JPMORGAN CHASE/RBS	2038
JPMORGAN CHASE BANK/SUSQUEHANNA	2060
JPMORGAN CHASE BANK/G.X.CLARKE & CO.	2084
JPMORGAN CHASE BANK/SUNRISE PARTNERS LIMITED PARTNERSHIP	2162
JPMORGAN CHASE BANK/CORRESPONDENCE CLEARING SERVICES 2	2164
JPMORGAN CHASE BANK/RBS SECURITIES INC.	2230
JPMORGAN CHASE BANK/PCS SHARED SERVICES	2255
JPMORGAN CHASE BANK NA/DBTC AMERICAS/DEUTSCHE BANK AG (LONDON BRANCH)	2312
JPMORGAN CHASE BANK NA/DBTC AMERICAS/DB UK BANK LIMITED	2314
JPMORGAN CHASE-ADR MAX	2334
JPMORGAN CHASE BANK N.A./JPMORGAN EUROPE LIMITED	2354
JPMORGAN CHASE BANK/A	2357
JPMORGAN CHASE BANK/JPMORGAN PPB	2379
J.P. MORGAN CHASE BANK/GG1	2387
JPMORGAN CHASE BANK, N.A./CUSTODIAL TRUST COMPANY	2424
JPMORGAN CHASE BANK/VANGUARD LOANET	2433
JPMORGAN CHASE BANK/GNPH MIDDLE MARKET	2434
JPMORGAN CHASE BANK/HSBCSI	2467
JPMORGAN CHASE BANK/CORPORATE MUNICIPAL DEALER	2508
JPMORGAN CHASE BANK/PRUDENTIAL	2517
JPMCB/HSBC BANK PLC IB MAIN FL ACCOUNT	2554
JPMORGAN CHASE BANK/TREASURER OF STATE OF OHIO BWC	2609
JPMORGAN CHASE/US EQ TRP	2612
JPMORGAN CHASE/NEWEDGE CUSTODY	2636
JPMORGAN CHASE/FIMAT PF	2638
JPMORGAN CHASE BANK/N.A./JPMORGAN CHASE FUNDING INC.	2668
JPMORGAN CHASE BANK/MUNICIPAL DEALER	2773
JPMORGAN CHASE BANK NA/GME DIV OF ICAP CORPORATE LLC	2783
JPMORGAN CHASE BANK/BROKER & DEALER CLEARANCE DEPARTMENT	2811
JPMORGAN CHASE BANK/TRUST CO. OF CALIFORNIA	2849
JPMORGAN CHASE BANK/AG DEPOSITORY BANK	2865
JPMORGAN CHASE BANK/KORE FIXED INCOME FUND LTD	2942
JPMORGAN CHASE BANK/GARBAR SECURITIES, INC.	2943
JPMORGAN CHASE-FIMAT RM	2944
JPMORGAN CHASE-FIMAT CU	2945
JPMORGAN CHASE-FIMAT MB	2946
JPMORGAN CHASE BANK/MET LIFE LOANET	2973
JPMORGAN CHASE BANK/OHIO POLICE AND FIRE PENSION FUND	8112
JPMORGAN CHASE BANK/WINTERFLOOD SECURITIES LIMITED	8120
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/RBS INVESTMENTS USA	8157
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/RBS FINANCIAL PRODUCTS	8158
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/RBS PLC	8159
KEYBANC CAPITAL MARKETS INC.	0799
KEYBANK NATIONAL ASSOCIATION	2205
KEYBANK SAFEKEEPING	0557
KEYBANK NA/FBO TREASURER OF STATE OF OHIO	2769
KEYBANK NA/CAPITAL MARKETS	2804
KNIGHT CAPITAL AMERICAS LLC	0295
KOONCE SECURITIES, INC.	0712
LAKESIDE BANK	2545
LAVAFLOW, INC.	0641
LAW DEBENTURE TRUST COMPANY OF NEW YORK	2216
LAZARD CAPITAL MARKETS LLC	0308
LAZARD CAPITAL MARKETS LLC/CONDUIT STOCK LOAN	7420
LEK SECURITIES CORPORATION	0512
LOMBARD ODIER TRANSATLANTIC, LIMITED PARTNERSHIP	0408
LPL FINANCIAL CORPORATION	0075
MACALLASTER PITFIELD MACKAY INC.	0664
MACQUARIE CAPITAL (USA) INC.	0114
MACQUARIE CAPITAL (USA) INC/MATCHED BOOK	7579
MANUFACTURERS AND TRADERS TRUST COMPANY	0990
MANUFACTURERS AND TRADERS TRUST CO/WILMINGTON TRUST/IPA	1507
MANUFACTURERS AND TRADERS TRUST COMPANY/IPA	1545
MANUFACTURERS & TRADERS TRUST COMPANY MUNI TRADING/SAFEKEEPING	2382
MAPLE SECURITIES U.S.A. INC.	0269
MAPLE SECURITIES USA INC./CUSTODY	0434
MAPLE SECURITIES - UK	0514
MAPLE SECURITIES - FP	0516
MAPLE SECURITIES USA INC./CUSTODY II	0518
MAPLE SECURITIES U.S.A. INC. - DOMESTIC	5239

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MAPLE SECURITIES U.S.A. INC. - FOREIGN		5289
MARSCO INVESTMENT CORPORATION		0287
MELLON BANK, N.A.		0946
MELLON BANK/MELLON FINANCIAL MARKETS, INC.		2523
MERCADO DE VALORES DE BUENOS AIRES S.A.		2788
MERCHANT CAPITAL, L.L.C.		6733
MERRILL LYNCH PROFESSIONAL CLEARING CORP.		0551
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED		0161
MERRILL LYNCH PIERCE FENNER & SMITH/FIXED INCOME		0773
MERRILL LYNCH, PIERCE FENNER & SMITH INC. - SECURITIES LENDING		5143
MERRILL LYNCH, PIERCE, FENNER & SMITH, INC. - FOREIGN SECURITY LENDING		5176
MERRILL LYNCH PIERCE FENNER & SMITH INC.-MLIM GLOBAL SECURITIES FINANCING INTERNATIONAL		7305
MERRILL LYNCH, PIERCE, FENNER & SMITH/STOCK LOAN HEDGE ACCOUNT		7560
MERRIMACK VALLEY INVESTMENT, INC.		0472
MESIROW FINANCIAL, INC.		0727
MG TRUST COMPANY, LLC		5954
MITSUBISHI UFJ SECURITIES (USA), INC.		0076
MITSUBISHI UFJ SECURITIES (USA), INC./STOCK LOAN		2075
MITSUBISHI UFJ TRUST & BANKING CORPORATION (U.S.A.)		2932
MITSUBISHI UFJ TRUST & BANKING CORPORATION (U.S.A.)/AFFILIATE		2037
MITSUBISHI UFJ TRUST & BANKING CORPORATION (U.S.A.)/STOCK LOAN		2570
MIZUHO CORPORATE BANK, LTD. NEW YORK BRANCH		2539
MIZUHO CORPORATE BANK LTD. NEW YORK BRANCH/IPA		1577
MIZUHO SECURITIES USA INC.		0892
MIZUHO SECURITIES/SECURITIES FINANCE		2161
MIZUHO SECURITIES USA/FIXED INCOME		2396
MIZUHO TRUST & BANKING CO. (USA)		2888
MIZUHO TRUST & BANKING CO (USA)/SECURITY LENDING		2492
MONTE TITOLI - S.P.A.		2008
MORGAN STANLEY & CO. LLC		0050
MORGAN STANLEY & CO. LLC/SL CONDUIT		0101
MORGAN STANLEY & CO. LLC/II		5127
MORGAN STANLEY & CO. LLC/III		5224
MORGAN STANLEY & CO. LLC/INTERNATIONAL PLC		7309
MORGAN STANLEY BANK, N.A.		2187
MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION		2267
MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION/#2		2522
MORGAN STANLEY SMITH BARNEY LLC		0015
NASDAQ EXECUTION SERVICES, LLC		0568
NASDAQ OMX BX, INC.		0163
NASDAQ OMX PHLX LLC		0237
NASDAQ OPTIONS SERVICES, LLC		0520
NATIONAL FINANCIAL SERVICES LLC		0226
NATIONAL FINANCIAL SERVICES LLC/STOCK LOAN		5157
NATIONAL SECURITIES CLEARING CORPORATION SCC DIVISION		0888
NSCC WITHHOLDING ACCOUNT		0883
NATIXIS SECURITIES AMERICAS LLC		0031
NATIXIS SECURITIES AMERICAS LLC/LENDING		5250
NEWEDGE USA, LLC		0608
NEWEDGE USA, LLC/SECURITIES LENDING & BORROWING		7357
NOMURA SECURITIES INTERNATIONAL, INC.		0180
NSI STOCK LENDING		5180
NOMURA SECURITIES/FIXED INCOME		5222
NOMURA SECURITIES INTERNATIONAL, INC./AFFILIATE PB		7584
NOMURA SECURITIES INTERNATIONAL, INC./AFFILIATE CLEARING		7507
NUVEEN SECURITIES, LLC		0448
NYSE ARCA, INC.		5300
NYSE GROUP, INC.		0769
OCC ACCORD DISCONNECT CONTROL ACCOUNT		1400

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OCTEG, LLC	0341
OPPENHEIMER & CO. INC.	0571
OPPENHEIMER TRUST COMPANY	0303
OPTIONS CLEARING CORPORATION (THE)	0981
OCC CLEARING FUND	0554
THE OPTIONS CLEARING CORPORATION/CUSTOMERS' SEGREGATED ACCOUNT FOR CROSS-MARGINING	0912
THE OPTIONS CLEARING CORPORATION CUSTOMER SEGREGATED MARGIN ACCOUNT	0939
THE OPTIONS CLEARING CORPORATION/OCC MARKET LOAN PROGRAM ACCOUNT - AQS	0982
OPTIONSXPRESS, INC.	0338
PEOPLE'S SECURITIES, INC.	0220
PERSHING LLC	0443
PERSHING LLC/SL	5163
PERSHING LLC/SL INTL	5196
PERSHING LLC/CORRESPONDENT SECURITIES LENDING	8131
PIERPONT SECURITIES LLC	0413
PIPER JAFFRAY & CO.	0311
PNC BANK, NATIONAL ASSOCIATION	2616
PNC BANK, N.A./IPA	1515
PNC BANK, N.A./OTTA	2065
PNC BANK/PNC MUNICIPAL STRATEGY - BLK	2166
PNC BANK/PNC MUNICIPAL STRATEGY - PNCM	2167
PNC EQUITY SECURITIES CORP.	2372
PNC BANK, N.A./PITTSBURGH	2834
PNC BANK N.A./PNC CAPITAL MARKETS LLC	2835
PNC BANK, N.A./MARKET STREET FUNDING SECURITIES	2801
PNC BANK, N.A./HPRS	2937
PORTFOLIO BROKERAGE SERVICES, INC.	8052
PORTIGON SECURITIES INC.	5177
PORTIGON SECURITIES INC./AGENCY ACCOUNT	5160
PRIMEVEST FINANCIAL SERVICES, INC.	0701
PWMCO, LLC	0467
QUANTEX CLEARING, LLC	0294
QUANTEX CLEARING, LLC/STOCK LOAN	7359
RAYMOND JAMES & ASSOCIATES, INC.	0725
RAYMOND JAMES & ASSOCIATES, INC/FI	0390
RJ DEALER STOCK LOAN	0594
RAYMOND JAMES & ASSOCIATES, INC./RAYMOND JAMES TRUST COMPANY	5179
RAYMOND JAMES & ASSOCIATES, INC / RAYMOND JAMES BANK	7568
RBC CAPITAL MARKETS, LLC	0235
RBC CAPITAL MARKETS, LLC/RBCCM	7408
RBS SECURITIES INC.	0248
RBS SECURITIES INC. / EQUITIES	0425
RBS SECURITIES INC. / FIXED INCOME	5231
RBS SECURITIES INC. / EQUITY FINANCE	5263
RCAP SECURITIES, INC.	0166
REGIONS BANK	0971
REGIONS BANK/CORPORATE TRUST/IPA	1505
REGIONS BANK/WEST VALLEY	2329
RELIANCE TRUST COMPANY	5962
RELIANCE TRUST COMPANY/SWMS1	2042
RELIANCE TRUST COMPANY/SWMS2	2085
ROBERT W. BAIRD & CO. INCORPORATED	0547
ROBINSON & LUKENS, INC.	7607
ROOSEVELT & CROSS, INCORPORATED	6931
SANFORD C. BERNSTEIN & CO., LLC	0013
SCOTIA CAPITAL (USA) INC.	0096
SCOTIA CAPITAL (USA) INC./STOCK LOAN	8118
SCOTIA CAPITAL (USA) INC./INTERNATIONAL STOCK LOAN	8119
SCOTTRADE, INC.	0705
SECURITIES FINANCE TRUST COMPANY	2047
SEI PRIVATE TRUST COMPANY	2039
SEI PRIVATE TRUST COMPANY/C/O GWP	2663

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Participant Account Name	Number
SG AMERICAS SECURITIES, LLC	0286
SMITH, MOORE & CO.	0494
SOCIETE GENERALE, NEW YORK BRANCH SOCIETE GENERALE NY/SOCIETE GENERALE PARIS	1546 2680
SOLOWEY & CO.	8006
SOUTH STREET SECURITIES LLC	7451
SOUTHWEST SECURITIES, INC.	0279
STATE STREET BANK AND TRUST COMPANY FIDUCIARY SSB STATE STREET BANK AND TRUST COMPANY/IPA SSB-PHYSICAL CUSTODY SERVICES SSB - TRUST CUSTODY STATE STREET BANK & TRUST COMPANY / ISHARES EUROPE STATE STREET BANK AND TRUST COMPANY/DEUTSCHE BANK FRANKFURT SSB - BANK PORTFOLIO STATE STREET BANK AND TRUST COMPANY/DB RESIDUAL PROCESSING ACCOUNT SSB - CAPITAL MARKETS SSB&T/SEC FIN AS PRINCIPAL STATE STREET BANK AND TRUST COMPANY OF CALIFORNIA, N.A. SSB&T CO/CLIENT CUSTODY SERVICES SSB - BLACKROCK INSTITUTIONAL TRUST STATE STREET BANK & TRUST/STATE STREET TOTALET STATE STREET BANK & TRUST COMPANY/LENDING PASS-THROUGH	0997 0987 1526 2193 2319 2375 2399 2436 2546 2556 2625 2661 2678 2767 2950 7268
STATE STREET BANK AND TRUST COMPANY, N.A.	2386
STATE STREET GLOBAL MARKETS, LLC	0189
STEPHENS INC.	0419
STERLING NATIONAL BANK	2004
STERNE, AGEE & LEACH, INC.	0750
STIFEL, NICOLAUS & COMPANY, INCORPORATED	0793
STOCKCROSS FINANCIAL SERVICES, INC.	0445
SUMITOMO MITSUI TRUST BANK (U.S.A.) LIMITED	2779
SUNGARD BROKERAGE & SECURITIES SERVICES LLC	0442
SUNTRUST BANK SUNTRUST BANK/STES IPA SUNTRUST BANK / STB RETAIL CD SUNTRUST BANK/SUNTRUST BANK DEALER BANK SUNTRUST BANK/SAFEKEEPING CUSTODIAN FOR STES	2971 1594 2114 2262 2717
SUNTRUST ROBINSON HUMPHREY, INC.	2095
SWENEY CARTWRIGHT & COMPANY	7027
SYNOVUS BANK SYNOVUS BANK/SYNOVUS 2	2578 2579
TD AMERITRADE CLEARING, INC. TD AMERITRADE CLEARING, INC./SECURITIES LENDING	0188 5298
TD SECURITIES (USA) LLC	7593
TEMPER OF THE TIMES INVESTOR SERVICES, INC.	5175
TEXAS TREASURY SAFEKEEPING TRUST COMPANY TEXAS TREASURY SAFEKEEPING TRUST COMPANY/IPA	2622 1584
THE BANK OF NEW YORK MELLON	0901
THE BANK OF NEW YORK MELLON/MELLON TRUST OF NEW ENGLAND, NATIONAL ASSOCIATION THE BANK OF NEW YORK MELLON/IPA BNYMELLON/BGC BROKERS LP THE BANK OF NEW YORK MELLON/SOC GEN BANK THE BANK OF NEW YORK MELLON/HBK GLOBAL SECURITIES LP THE BANK OF NEW YORK MELLON/FMSBONDS, INC. BNYMELLON/SPECIAL PROCESSING #117 THE BANK OF NEW YORK MELLON/UBS AG LONDON BRANCH DESIGNED EQUITIES THE BANK OF NEW YORK MELLON/HBK MASTER FUND LP THE BANK OF NEW YORK MELLON/DBTCA-DB AG LDN PB - FIRM ACCOUNT THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB-DEUTSCHE BANK NY BNY MELLON/NGFP MAIN BNYMELLON/BNP PARIBAS TRI-PARTY ACCOUNT BNYMELLON/SPECIAL PROCESSING #85 BNYMELLON/BBPLC CLIENT SEG SG SCM EQ TLR LTD THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB CHEYNE VALUE FUND LP BNYMELLON/MS INTERNATIONAL THE BANK OF NEW YORK MELLON/NATIXIS FUNDING CORP THE BANK OF NEW YORK MELLON/DBTCA/DB AG LON PB POLGON GL OP M/FD	0954 1541 2002 2020 2022 2023 2026 2029 2046 2057 2059 2063 2070 2074 2078 2079 2081 2089 2090

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Week Ending - 7/31/13		
Participant Account Name		
BNYMELLON/RABO CAPITAL SERVICES INC. SSF		2091
THE BANK OF NEW YORK MELLON/POPULAR SECURITIES, INC.		2092
THE BANK OF NEW YORK MELLON/SUNTRUST BANK		2093
THE BANK OF NEW YORK MELLON/SUNTRUST BANK PORTFOLIO		2100
BNYM/HSBC US		2102
THE BANK OF NEW YORK MELLON/BARCLAYS CAPITAL SECURITIES LTD., SBL/PB		2103
THE BANK OF NEW YORK MELLON/FIFTH THIRD BANK		2105
THE BANK OF NEW YORK MELLON/ALLSTATE MARK TO MARKETS		2106
THE BANK OF NEW YORK MELLON/COMMERCIAL LOANS		2107
THE BANK OF NEW YORK MELLON/STANDARD BANK LONDON LTD.		2109
BNY MELLON/TRADITION ASIEL SECURITIES		2113
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB F&C GARNET M/F LTD		2125
THE BANK OF NEW YORK MELLON/DBTC/DB AG LDN PB F&C SAPPHIRE M/F LTD		2129
BNYMELLON/NOMURA PB NOMINEES LTD		2131
THE BANK OF NEW YORK MELLON/IVORS		2136
THE BANK OF NEW YORK MELLON/ELLINGTON SPECIAL OPPORTUNITIES FUND, LTD.		2138
BANK OF NEW YORK MELLON/INVESTMENT ACCOUNT		2151
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK LONDON AG LONDON/GLOBAL MARKET #2		2155
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB MULTI SEG CLEARANCE		2156
BNY MELLON/NGFP COLLATERAL		2158
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN B CHEYNE SPEC'L SIT FD		2160
BNY MELLON/JEFFERIES & CO.		2168
BNY MELLON/GOSHA HOLDINGS LLP		2169
THE BANK OF NEW YORK MELLON/CDC MORTGAGE CAPITAL INC.		2176
THE BANK OF NEW YORK MELLON/ABN AMRO BANK		2183
THE BANK OF NEW YORK MELLON/TULLETT PREBORN FINANCIAL SERVICES 1		2189
THE BANK OF NEW YORK MELLON/TULLETT PREBORN FINANCIAL SERVICES		2190
THE BANK OF NEW YORK MELLON/BARCLAYS CAPITAL-LONDON		2196
THE BANK OF NEW YORK MELLON/COUNTRYWIDE HOME LOANS		2198
BNYMELLON/AC NOMURA PB NOM LTD RE: GLG EMG MKTS		2200
THE BANK OF NEW YORK MELLON/ANNALY CRE LLC		2203
BANK NEW YORK MELLON/ITC-INVESTMENT DEALER		2206
THE BANK OF NEW YORK MELLON/RABOBANK INTERNATIONAL LONDON EQUITY FINANCE		2207
THE BANK OF NEW YORK MELLON/MID CAP SPDRS		2209
THE BANK OF NEW YORK MELLON/EF SECURITIES LLC		2220
THE BANK OF NEW YORK MELLON/NATIXIS FINANCIAL PRODUCTS INC		2224
THE BANK OF NEW YORK MELLON/TELEBANK		2225
THE BANK OF NEW YORK MELLON/MOORE CAPITAL MANAGEMENT		2228
THE BANK OF NEW YORK MELLON/BZW SECURITIES LIMITED		2231
BNY MELLON/NOMURA CAPITAL MARKETS PLC REPO		2232
THEBANK OF NEW YORK MELLON/BANQUE D'ORSAY		2238
THE BANK OF NEW YORK MELLON/NORTHFORK BANK		2242
BNYMELLON/NATIXIS FIXED INCOME		2243
BNYMELLON/NATIXIS		2244
THE BANK OF NEW YORK MELLON/GLOBAL MARKETS DIVISION EQUITY DERIVATIVES GROUP		2245
BNYMELLON/DEDICATED PROCESSING #1		2247
THE BANK OF NEW YORK MELLON/MORGAN STANLEY DERIVATIVES		2252
THE BANK OF NEW YORK MELLON/CDC HOLDINGS TRUST INC.		2264
THE BANK OF NEW YORK MELLON/HBK CDO TRUST		2265
THE BANK OF NEW YORK MELLON/IXIS LOAN ACQUISITION 2005-1 LLC		2274
THE BANK OF NEW YORK MELLON/SUNTRUST EQUITY FUNDING, LLC		2276
THE BANK OF NEW YORK MELLON/WELLS FARGO N.A.		2280
BNY MELLON/NOMURA INT'L PLC REPO		2281
THE BANK OF NEW YORK MELLON/WELLS FARGO MARGIN		2282
THE BANK OF NEW YORK MELLON/PREBON FINANCIAL PRODUCTS, INC.		2291
BNYMELLON/CITIGROUP GLOBAL MARKETS LIMITED		2292
THE BANK OF NEW YORK MELLON/OZ MASTER FUND LTD		2294
THE BANK OF NEW YORK MELLON/MELLON TRUST OF NEW ENGLAND, N.A. THE UNITED NATIONS JOINT STAFF PENSION FUND - A U.N. ORGAN		2297
THE BANK OF NEW YORK/FORTIS BANK NV/SA		2301
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THE BANK OF NEW YORK MELLON/ING BANK NV LONDON BRANCH		2307
THE BANK OF NEW YORK MELLON/BOA NA		2308
THE BANK OF NEW YORK MELLON/BARCLAYS (BGIS)		2313
THE BANK OF NEW YORK MELLON/HBSC, BK PLC A/C IB EQ FIN NON US		2321
THE BANK OF NEW YORK MELLON/BARCLAYS BANK PLC - PLEDGE ACCOUNT		2324
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THE BANK OF NEW YORK MELLON/NATIXIS SECURITIES NORTH AMERICA INC		2331
THE BANK OF NEW YORK MELLON/CHARLES STANLEY AND COMPANY, LIMITED		2336
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THE BANK OF NEW YORK MELLON/CRESCENT II FUND L.P.		2362
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THE BANK OF NEW YORK MELLON/DEALER CLEARANCE #2		2378
THE BANK OF NEW YORK MELLON/DEDICATED PARTICIPANT #8		2381
THE BANK OF NEW YORK MELLON/TULLET & TOKYO LIBERTY (SEC) LTD.		2383
THE BANK OF NEW YORK MELLON/EVOLUTION BEESON GREGORY LIMITED LIMITED - ACCOUNT #258688		2406
THE BANK OF NEW YORK MELLON/VANGUARD BLOCK LENDING		2407
THE BANK OF NEW YORK MELLON/REGIONS BANK		2409
THE BANK OF NEW YORK MELLON/NATIONAL AUSTRALIA BANK		2414
THE BANK OF NEW YORK MELLON/ELLINGTON MORTGAGE OPPORTUNITIES MASTER FUND LTD.		2417
THE BANK OF NEW YORK MELLON/MERRILL LYNCH PIERCE FENNER & SMITH		2427
THE BANK OF NEW YORK MELLON/DBTC AMERICAS/DEUTSCHE BANK LONDON PRIME		2428
THE BANK OF NEW YORK MELLON/ELLINGTON STRATEGIC MGT FD L.P.		2429
BNY MELLON/TRADITION ASIEL SECURITIES INC. MBS		2431
THE BANK OF NEW YORK MELLON/NATIXIS DERIVATIVES INC		2432

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THE BANK OF NEW YORK MELLON/DBTC/DEUTSCHE BK LONDON PRIME SEG 15/00	2453
THE BANK OF NEW YORK MELLON/DBTC/DEUTSCHE BK LONDON PRIME SEG 30/30	2454
BNYMELLON/NOMURA PB NOM LTD RE GLG EMG CREDIT	2456
BNYMELLON/NOMURA PB NOM LTD RE GLG EMG FIDCCY	2457
BNY MELLON/HSBC BANK PLC	2462
BNYMELLON/BARCLAYS BANK PLC RE: AET TRUST	2463
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK AG FRANKFURT	2468
THE BANK OF NEW YORK MELLON/MIZUHO BANK LTD.	2469
BNY MELLON/CAPSTEAD MORTGAGE CORP.	2470
BNYMELLON/DR CUSTODY ACCOUNT	2472
THE BANK OF NEW YORK MELLON/MILLENNIUM PARTNERS	2474
THE BANK OF NEW YORK MELLON/ANNALY COMMERCIAL REAL ESTATE GR.	2475
THE BANK OF NEW YORK MELLON/ANNALY CRE HOLDING LLC	2477
THE BANK OF NEW YORK MELLON/DBAG LONDON GLOBAL MARKETS (CLIENT ACCT)	2478
THE BANK OF NEW YORK MELLON/DBAG FRANKFURT GLOBAL MARKET	2479
THE BANK OF NEW YORK MELLON/DBAG LONDON GLOBAL MARKET	2485
BNYMELLON/VB CAPITAL PLC	2486
THE BANK OF NEW YORK MELLON/DBTC AMERICAS/DBAG LDN-GS CR. PORT LLC	2488
BNYMELLON/RABOBANK INT'L Utrecht EQUITY FIN	2490
THE BANK OF NEW YORK MELLON/TD BANK	2491
THE BANK OF NEW YORK MELLON/BOA SECURITIES LTD. (BASL)	2494
BNYMELLON/BARCLAYS BANK PLC RE: BCTL SARL	2495
BNYMELLON/HYMF INC. FIRM EQUITIES DTC BOX	2496
BNYMELLON/NOMURA CL SETT NOM LTD	2499
THE BANK OF NEW YORK MELLON/ANNALY MORTGAGE	2502
THE BANK OF NEW YORK MELLON/ANNALY FUNDING LLC	2505
THE BANK OF NEW YORK MELLON/ BANQUE DEWAAY SA	2506
THE BANK OF NEW YORK MELLON/THE PRUDENTIAL INVESTMENT	2510
THE BANK OF NEW YORK MELLON/BARCLAYS BANK PLC RE BC EQUITIES TRADING	2511
THE BANK OF NEW YORK MELLON/BANQUE DEWAAY MGT SA	2513
THE BANK OF NEW YORK MELLON/BROKER DEALER OMNIBUS	2535
BNYMELLON/DEUTSCHE BANK AG LDN RE DBAUSTRALIA	2538
THE BANK OF NEW YORK MELLON/NOMURA BANK INT'L PLC	2543
THE BANK OF NEW YORK MELLON/COMMERZBANK AG INVESTMENT	2544
THE BANK OF NEW YORK MELLON/DE BREVAN HOWARD MASTER FUND	2552
THE BANK OF NEW YORK MELLON/DAVY SECURITIES LIMITED	2553
THE BANK OF NEW YORK MELLON/WELLS FARGO BANK N.A.	2558
BNYMELLON/SPECIAL PROCESSING #91	2564
THE BANK OF NEW YORK MELLON/BAKERGROUP	2565
THE BANK OF NEW YORK MELLON/COMMERZBANK, AG LONDON	2566
THE BANK OF NEW YORK MELLON/TORONTO DOMINION SECURITIES INC.	2568
THE BANK OF NEW YORK MELLON/INVESTEC LONDON	2572
THE BANK OF NEW YORK MELLON/RABOBANK INTERNATIONAL NY	2573
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK AG LONDON PRIME BROKERAGE	2582
BNYMELLON/SPECIAL PROCESSING #92	2585
THE BANK OF NEW YORK MELLON/BANCO SANTANDER SLB	2590
THE BANK OF NEW YORK MELLON/ML EQUITY SOLUTIONS JERSEY LTD.	2592
THE BANK OF NEW YORK MELLON/GIB UK LTD CORP BOND	2594
THE BANK OF NEW YORK MELLON/MELLON INVESTMENT PORTFOLIO	2595
THE BANK OF NEW YORK MELLON/DBLPB-BLACK ANT MASTER FD, LP	2596
THE BANK OF NEW YORK MELLON/DBLPB-DBX EURO LONG/SHORT EQ. 4 FD	2597
THE BANK OF NEW YORK MELLON/CACEIS BANK DEUTSCHLAND GMBH	2598
THE BANK OF NEW YORK MELLON/TRADITION LONDON CLEARING LTD.	2601
THE BANK OF NEW YORK MELLON/EQUITIES FORTIS BANK,LONDON	2608
BNYMELLON/SPECIAL PROCESSING #93	2620
BNYMELLON/MIZUHO INTERNATIONAL	2621
BNYMELLON/SPECIAL PROCESSING #94	2624
THE BANK OF NEW YORK MELLON/NATIXIS CAPITAL COOPERATIEF U.A.	2627
THE BANK OF NEW YORK MELLON/NTX FUNDING V.O.F.	2628
THE BANK OF NEW YORK MELLON/NTX FUNDING COOPERATIEF U.A.	2629
BNYMELLON/AIG	2630
BNYMELLON/BBPLC A/C PB CANADA PPIB CLIENT	2633
BNYMELLON/GOV & CO BANK OF ENGLAND	2634
BNYMELLON/HSBC BANK PLC A/C IB EQUITY FINANCE NT	2639
BNYMELLON/BARCLAYS CAPITAL INC.	2641
BNYMELLON/GLOBAL PRIME PARTNERS	2648
THE BANK OF NEW YORK MELLON/DEALERWEB INC.	2650
THE BANK OF NEW YORK MELLON/HH ELLINGTON MASTER FUND LTD	2651
THE BANK OF NEW YORK MELLON/NBT BANK	2652
BNYMELLON/JW GIDDENS TRUSTEE LIQ LEHMAN BROS	2657
BNYMELLON/BRPT	2662
THE BANK OF NEW YORK MELLON/LINK SECURITIES	2674
THE BANK OF NEW YORK MELLON/RABO CAPITAL SERVICES	2677
THE BANK OF NEW YORK MELLON/TDB UNENCUMBERED	2679
THE BANK OF NEW YORK MELLON/ITC-DEALERS CLEARANCE GENERAL	2681
THE BANK OF NEW YORK MELLON/TD NY	2683
BNYMELLON/CACEIS BANK DEUTSCHLAND GMBH RE.CLIENT	2699
BNYMELLON/DE SHAW & CO.	2709
BNYMELLON/CAP LONDON	2711
BNYMELLON/NATIONAL BANK OF AUSTRALIA	2714
BNYMELLON/DEXIA CREDIT LOCAL PARIS	2715
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BNYMELLON/NOMURA CNS NOM RE: TFS DER	2718
THE BANK OF NEW YORK MELLON/DBLPB-DBX RISK ARBITAGE 8 FUND	2719
THE BANK OF NEW YORK MELLON/BARCLAYS BK PLC-BARC LUX SARL A/C 1	2720
THE BANK OF NEW YORK MELLON/BARCLAYS BK PLC-BARC LUX SARL A/C 2	2721
THE BANK OF NEW YORK MELLON/DBLPB-CENTAURUS PROXIMA FD	2724
THE BANK OF NEW YORK MELLON/DBLPB-DBX-ASIAN L/S EQUITY 2 FUND	2726
THE BANK OF NEW YORK MELLON/DBL-FIXED INCOME PRIME BROKERAGE	2727
THE BANK OF NEW YORK MELLON/OZ ASIA MASTER FUND, LTD CUSTODY	2728
THE BANK OF NEW YORK MELLON/OZ SPECIAL FUNDING (OZMD) L.P.	2729
THE BANK OF NEW YORK MELLON/OZ EUROPE MASTER MASTER FUND, LTD	2730

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THE BANK OF NEW YORK MELLON/OZ MASTER MASTER FUNDS, LTD	2731
THE BANK OF NEW YORK MELLON/OZ CAP STRUC ARBTRAGE MASTER FUND	2732
THE BANK OF NEW YORK MELLON/SIMF	2733
THE BANK OF NEW YORK MELLON/KBC FINANCIAL PRODUCTS UK, LTD.	2747
THE BANK OF NEW YORK MELLON/KBC INVESTMENTS LIMITED	2748
THE BANK OF NEW YORK MELLON/IXIS CMNA (AUSTRALIA) (NO.2) S.C.A	2755
THE BANK OF NEW YORK MELLON/NOMURA CREDIT CAPITAL INC.	2758
BNY MELLON/RABOBANK INTERNATIONAL CASH EQUITY AMSTERDAM	2760
BNY MELLON/RABOBANK INTERNATIONAL CASH EQUITY UTRECHT	2761
BNY MELLON/RABOBANK INTERNATIONAL EQUITY DERIVATIVES LONDON	2762
BNY MELLON/RABOBANK INTERNATIONAL EQUITY DERIVATIVES HONG KONG	2763
BNYMELLON/LBBW NY PRIMARY ACCOUNT	2764
BNYMELLON/LBBW NY CUSTODY	2765
THE BANK OF NEW YORK MELLON/ELLINGTON CREDIT OPPORTUNITIES LTD.	2776
THE BANK OF NEW YORK MELLON/ST. BERNARD OPPORTUNITY FUND 1, LTD.	2784
THE BANK OF NEW YORK MELLON/MILLENNIUM FIXED INCOME LTD	2785
BNYMELLON/BBPLC PB CAYMEN CLIENTS	2802
BNYMELLON/BBPLC PB CANADIAN CLIENTS	2825
THE BANK OF NEW YORK MELLON/EF MORTGAGE, LLC	2841
THE BANK OF NEW YORK MELLON/EF CMO, LLC	2842
BNYMELLON/BBPLC PB UK CLIENTS	2844
BNYMELLON/(AG) DESHAW OCULUS PORT LLC.PLGCOLL AC	2846
BNYMELLON/CANTOR FITZGERALD, EUROPE	2856
BNYMELLON/SMPT	2858
BNYMELLON/SPECIAL PROCESSING #109	2868
THE BANK OF NEW YORK MELLON/TD BANK N.A.	2872
THE BANK OF NEW YORK MELLON/CHIMERA INVESTMENT CORPORATION	2874
THE BANK OF NEW YORK MELLON/CHIMERA ASSET HOLDING LLC	2875
THE BANK OF NEW YORK MELLON/DE CHILRDENS	2877
THE BANK OF NEW YORK MELLON/CHIMERA HOLDING LLC	2893
THE BANK OF NEW YORK MELLON/CHIMERA SECURITIES HOLDING LLC	2899
THE BANK OF NEW YORK MELLON/CHIMERA SPECIAL HOLDING LLC	2901
THE BANK OF NEW YORK MELLON/BAKER2	2903
THE BANK OF NEW YORK MELLON/CHIMERA TRADING COMPANY LLC	2906
THE BANK OF NEW YORK MELLON/ELLINGTON STRATEGIC MBS LP II	2913
THE BANK OF NEW YORK MELLON/NATIXIS SECURITIES NORTH AMERICA	2920
BNYMELLON/SPECIAL PROCESSING # 110	2926
BNYMELLON/BARCLAYS BANK PLC	2931
THE BANK OF NEW YORK MELLON/COUNTRYWIDE HOME LOANS, CHL FOR CCM CONDUITS	2935
THE BANK OF NEW YORK MELLON/VINNING SPARKS, IBG, L.P.	2940
THE BANK OF NEW YORK MELLON/ROYAL TRUST	2985
BNYMELLON/WF & CO WELLS FARGO & COMPANY PI	8028
BNYMELLON/WFB.NA WELLS FARGO BANK NA PI	8043
BNYMELLON/WF & CO WELLS FARGO & COMPANY	8077
BNYMELLON/AL CONDUIT	8107
BNYMELLON/SPECIAL PROCESSING #117	8108
THE BANK OF NEW YORK MELLON/EARN TRS LLC	8114
THE BANK OF NEW YORK MELLON/EARN SECURITIES LLC	8115
THE BANK OF NEW YORK MELLON/EARN CMO LLC	8116
THE BANK OF NEW YORK MELLON/EARN MORTGAGE LLC	8117
THE BANK OF NEW YORK MELLON/ELLINGTON SECURITIZED PRODUCT FUND LTD.	8121
THE BANK OF NEW YORK MELLON/SOUTH STREET SEC'S, LLC	8122
THE BANK OF NEW YORK MELLON/NOMURA FIN. PRODUCTS & SERVICES INC.	8123
BNYMELLON/DEDICATED PROCESSING #2	8132
BNYMELLON/DEDICATED PROCESSING #3	8133
BNYMELLON/DEDICATED PROCESSING #4	8134
BNYMELLON/DEDICATED PROCESSING #5	8135
BNYMELLON/DEDICATED PROCESSING #6	8139
BNYMELLON/DEDICATED PROCESSING #7	8140
THE BANK OF NEW YORK MELLON TRUST COMPANY, NATIONAL ASSOCIATION	2438
THE BANK OF NOVA SCOTIA, NEW YORK AGENCY	2347
BANK OF NOVA SCOTIA, NEW YORK AGENCY/IPA (THE)	1542
THE BANK OF NOVA SCOTIA, NEW YORK AGENCY/RATES DESK	2531
THE CENTRAL DEPOSITORY (PTE) LIMITED	5700
THE HUNTINGTON NATIONAL BANK	2305
HUNTINGTON NATIONAL BANK/IPA	1562
HUNTINGTON NATIONAL BANK/FBO OHIO POLICE AND PENSION FUND	2219
HUNTINGTON NATIONAL BANK/FBO SCHOOL EMPLOYEE RETIREMENT SYSTEM OF OHIO	2898
THE NASDAQ STOCK MARKET LLC	0734
NASDAQ STOCK MARKET LLC/OMNIBUS ACCOUNT	0759
THE NORTHERN TRUST COMPANY	2669
NORTHERN TRUST COMPANY/IPA	1560
NORTHERN TRUST COMPANY - SAFEKEEPING	2684
NORTHERN TRUST COMPANY/FUTURE FUND ACCOUNTS	2778
NORTHERN TRUST COMPANY/OCH-ZIFF CAPITAL MANAGEMENT	8101
THE ROYAL BANK OF SCOTLAND PLC (CT BRANCH)	2288
THE ROYAL BANK OF SCOTLAND PLC, CT BRANCH / EQUITIES FINANCE	5251
THE TEL-AVIV STOCK EXCHANGE CLEARING HOUSE LTD	2015
TIMBER HILL LLC	0549
TRADEBOT SYSTEMS, INC.	0083
TRADESTATION SECURITIES, INC.	0271
TRADITION ASIEL SECURITIES INC.	0370

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TRUSTMARK NATIONAL BANK		2852
TULLETT PREBON FINANCIAL SERVICES LLC		0624
U.S. BANCORP INVESTMENTS, INC.		0280
U.S. BANK N.A.		2803
U.S. BANK N.A./CP		1510
U.S. BANK N.A./SAFEKEEPING WEST		2234
U.S. BANK N.A./ETF		2580
U.S. BANK, N.A./U.S. BANK MUNICIPAL SECURITIES GROUP		2781
U.S. BANK N.A./THIRD PARTY LENDING		2837
U.S. BANK N.A./TRUST NY MTN		2897
UBS AG, STAMFORD BRANCH	0979	
UBS AG, STAMFORD BRANCH/IPA ACCOUNT		1540
UBS AG, STAMFORD BRANCH/AC PB CLIENTS-NO UBS LIEN		2003
UBS AG STAMFORD BRANCH/AS CUSTODIAN FOR UBS AG LONDON BRANCH		2507
UBS FINANCIAL SERVICES INC.	0221	
UBS FINANCIAL SERVICES INC./GOVERNMENT SECURITIES ACCOUNT #2		5170
UBS LIMITED	2789	
UBS SECURITIES LLC	0642	
UBS SECURITIES LLC/CMO		0652
UBS SECURITIES LLC/SECURITIES LENDING		5284
UMB BANK, NATIONAL ASSOCIATION	2450	
UMB BANK NA/ENOGENX MTM/IPA		1523
UMB BANK, INVESTMENT DIVISION		2451
UNICREDIT CAPITAL MARKETS, LLC	7580	
UNION BANK & TRUST COMPANY	2067	
UNION BANK, N.A.	2145	
UNION BANK, N.A./CORPORATE TRUST/IPA		1500
UNION BANK, N.A./CAPITAL MARKETS		2851
USAA INVESTMENT MANAGEMENT COMPANY	0367	
VANGUARD MARKETING CORPORATION	0062	
VIRTU FINANCIAL BD LLC	0063	
VIRTU FINANCIAL BD LLC/WEST		0619
VIRTU FINANCIAL CAPITAL MARKETS LLC	0749	
VISION FINANCIAL MARKETS LLC	0595	
WACHTEL & CO., INC.	0709	
WEDBUSH SECURITIES INC.	0103	
WEDBUSH SECURITIES INC./STOCK LOAN		5166
WELLS FARGO ADVISORS, LLC	7360	
WELLS FARGO BANK, NATIONAL ASSOCIATION	2027	
WELLS FARGO BANK, N.A. ISSUING/PAYING AGENT		1538
WELLS FARGO BANK, N.A./LENDING		2040
WELLS FARGO BANK, N.A./SIG		2072
WELLS FARGO SECURITIES, LLC	0250	
WELLS FARGO SECURITIES, LLC/SECURITIES FINANCE		2480
WFS LLC/1.20 SEGREGATED ACCOUNT		8154
WFS LLC/CLEARED SWAPS CUSTOMER ACCOUNT		8155
WFS LLC/30.7 SEGREGATED ACCOUNT		8156
WESBANCO BANK, INC.	2271	
WILLIAM BLAIR & COMPANY, L.L.C.	0771	
WILSON-DAVIS & CO., INC.	0283	
WULFF, HANSEN & CO.	5226	
ZIONS DIRECT, INC.	0065	
ZIONS FIRST NATIONAL BANK	2104	
ZIONS FIRST NATIONAL BANK-CT ISSUE & PAY A/C/IPA		1586
ZIONS FNB/WESTERN NATIONAL		2736
ZIV INVESTMENT CO.	8082	